

**Department of Political Science
Comparative Politics
Preliminary Examination Reading List**

2003-5/6

(Note changes in the exam format/rules as well as in the reading list.)

The preliminary examination in comparative politics is based on a shared reading list. Faculty members from the sub-field have cooperated to create this list, which will remain without significant change until at least 2006. A few works pertain to more than one topic area and thus appear on the reading list in more than one place.

It is helpful to think of the reading list both as identifying the most important topic areas in comparative politics and as providing a very basic “toolbox,” or repertoire, of important intellectual strategies for explaining patterns of behavior, attitudes, events, or institutions. These include both theoretical and empirical approaches.

This list builds on syllabi in PS 641 and other departmental courses in the sub-field, although you may not have encountered everything in a class. It is wise to review the reading handouts from PS 641 and class notes from courses prior to taking the exam. It is also wise to defer the exam until you have taken an adequate sampling of the courses in the sub-field. We give few honors grades, but almost all students who have won honors over the past five years have taken 6-7 comparative politics courses. Very few students who pass the exam have taken fewer than 5 comparative courses.

The Exam

The exam includes both a written and an oral component. The written component, which takes the form of a 24-hour take-home test, counts for 65% of the grade. Each exam taker must answer four questions, of which one is a question developed in consultation with a faculty member in the student’s area of special interest (see below). The oral, which counts for 35%, provides an opportunity to resolve ambiguities in the written exam, test breadth of knowledge, and relate the material to individual research interests.

Expectations

To prepare for the exam, those for whom comparative politics is a major field should acquaint themselves with at least two of the categories (sub-lists) in each of three of the broad topic areas (lists) indicated below. Those who are counting comparative politics as a minor field are advised to prepare in the same manner, but they may opt to offer three categories in each of two broad areas, focusing a bit more narrowly. Both majors and minors must also prepare the readings on comparative method. These readings inform one’s understanding of the logic in the other writing. The exam offers a limited choice of questions within each general list (with at least one question per category).

To be “conversant” means to have the ability to compare and contrast alternative plausible explanations in answer to some of the important questions in the sub-field. This format is similar to discussion papers in the gateway seminar, PS 641. Central to success are 1) capacity to identify and use theories relevant to the question posed, 2) specificity, including ability to recount the “story line” that links causes to effects, and 3) originality. “Originality” may mean many things, including demonstrated ability to integrate disparate material, to use explanations to help understand a new problem (including your own research interests), or to extend and revise explanations in the literature.

As always, we reward those who display breadth and can integrate other material from courses they have taken. However, it is possible to do very well by mastering the required readings only.

Special Preparation in Area of Interest

In addition to the expectations set forth above, each person who plans to take the exam should develop a short list of area-specific readings in consultation with a key faculty member who teaches in that field. “Area-specific” will often be geographically defined but it could also be defined in terms of topical area or area of theoretical applicability—for example, industrial countries, developing countries, new democracies, etc. The faculty member will help craft a question that will appear on the exam and identify appropriate preparation. The aim is to ensure that each person knows the politics of some well-defined (set of) society (ies) well. Even if your interests extend widely across broad regional, topical, or theoretical areas, it is important to ground your work in detailed knowledge of at least one such area.

List One:

Building States and Nations
Theories of Political Development
Political Regimes and Democratization
The Second Image Reversed*

List Two:

[Some shared readings for all of these categories]
Constitutions & Institutions
Cleavages, Interest Groups and Interest Intermediation
Elections and Electoral Systems
Political Parties and Party Systems
Accountability

List Three:

Political Culture
Participation and Collective Action
Rebellion, Revolution, and Violence
Ethnicity, Identity Politics and Nationalism

List Four:

Policy
Political Economy of Developed Democracies
Political Economy of Development
Good Government*

List on Comparative Method and Research Design (general familiarity expected of all exam takers)

*Can only be offered in combination with either the Policy list or one of the Political Economy lists (that is, a substitute for one sub-category on the Policy or Political Economy lists.)

The Required Readings

(asterisk means selection is on the current PS641 syllabus)

Introduction: Comparative Method (required of all)

John Stuart Mill. "How We Compare," in *A System of Logic*, Book VI, chapter 10, New York: Harper, 1846.*

David Collier, "Comparative Politics and Comparative Method," in Dankwart Rustow and Kenneth Paul Erickson, eds., *Comparative Political Dynamics*

Theory and Research Design

Arthur L. Stinchcombe. "The Logic of Scientific Inference" from *Constructing Social Theories*. Harcourt, Brace & World, Inc. New York, pp. 15-50. *

Adam Przeworski and Henry Teune. "Comparative Research and Social Science Theory" and "Research Designs," from *The Logic of Comparative Social Inquiry*. New York: Wiley Scientific, 1970, pp. 17-30 and 31-47.*

Gary King, Robert Keohane and Sidney Verba, *Designing Social Inquiry*. Princeton: Princeton University Press, 1994, chapter 1 and 4.*

Varieties of Theory

Robert Bates. "Macropolitical Economy in the Field of Development," from James Alt and Kenneth Shepsle, *Perspectives on Positive Political Economy**

Kenneth Shepsle. "Statistical Political Philosophy and Positive Political Theory," From Jeffrey Friedman, ed., *The Rational Choice Controversy*.

Special Issues

Paul Pierson. "Increasing Returns, Path Dependence, and the Study of Politics," *American Political Science Review*, 94, 2 (June 2000).

Ruth Berins Collier and David Collier. *Shaping the Political Arena*. Princeton: Princeton University Press, chapter one on critical junctures.

Diana Richards. "Non-Linear Modeling: All Things Suffer Change," pp. 1-22 in Richards, ed., *Political Complexity* (University of Michigan Press, 2000).

Some methods of data collection & analysis

Debate between Rob Franzese and Peter Hall (who often collaborate!). Franzese in *Comparative Politics Newsletter*, 14, 1 (winter 2003) and Hall, "Adapting Methodology to Ontology in Comparative Politics," *The Political Economist*, 11, pp. 1-7.

Earl Babbie, *Survey Research Methods*, chapters 3,4,5.*

Alexander L. George. "Case Studies and Theory Development: The Method of Structured, Focused Comparison," in Paul Gordon Lauren, *Diplomacy: New Approaches in History, Theory, and Policy*. New York: Free Press, pp. 43-67.*

Theda Skocpol and Margaret Somers, "The Uses of Comparative History in
Macrosocial Inquiry," *Comparative Studies in Society and History*, 22, 2 (April 1980):
174-197.*

Robert Bates, et. al. *Analytic Narratives*. Princeton: Princeton University Press,
chapter
One and conclusion plus Jon Elster, "Rational Choice History: A Case of
Excessive Ambition," *American Political Science Review*, 94,3 (Sept. 2000),
Bates et. al. reply in same and/or Daniel Carpenter, "Commentary: What is
the
Marginal value of Analytic Narratives?" *Social Science History*, 24, 4 (winter
2000).

Alan Gerber and Donald Green, "The Effects of Canvassing, Telephone Calls, and
Direct Mail on Voter Turnout: A Field Experiment," *American Political
Science Review* 94 (September 2000) an example of experimental
approaches.

Cautions:

Albert O. Hirschman, "Paradigms as a Hindrance to Understanding," *World Politics*,
22, 3 (1970): 329-343.*

Area Studies and the Discipline

Robert H. Bates, "Area Studies and the Discipline: A Useful Controversy?" *PS:
Political Science and Politics*, pp. 166-169.

Peter A. Hall and Sidney Tarrow, "Globalization and Area Studies: When Is Too
Broad Too Narrow?" *Chronicle of Higher Education*, January 23, 1998, B5.

Mark Tessler, Jodi Nathway and Anne Banda. "The Area Studies Controversy," in
Mark Tessler et. al., eds., *Area Studies and Social Science*. Bloomington, IN:
Indiana University Press, 1999.

I.A. States and State-Building¹

Core Concepts

Mancur Olson. "The Criminal Metaphor," from *Power and Prosperity*. NY: Basic Books, 2000, pp. 3-24.

Albert Hirschman, "Exit, Voice, and the State," *World Politics*, 31, 1 (1978): 90-107.

Robert Bates, "The Centralization of African Societies" and "The Preservation of Order in Stateless Societies," in Robert Bates, *Essays on the Political Economy of Rural Africa*, pp. 21-49 and 7-21. Cambridge: Cambridge University Press, 1983.

Early Modern Europe

Douglass North. *Structure and Change in Economic History*. New York: W.W. Norton, 1981, part I.

Charles Tilly. *Coercion, Capital, and the European States*. Cambridge, MA: Blackwell, 1990, pp. 1-5, 14-95, 187-191

Hendrick Spruyt. *The Sovereign State and Its Competitors*. Princeton: Princeton University Press, 1994.*

Stephen Krasner. *Sovereignty*. Princeton: Princeton University Press, chapters 1 and 2 or the argument's earlier manifestation in Stephen Krasner. "Approaches to the State: Alternative Conceptions and Historical Dynamics," *Comparative Politics*, 16, January 1984: 223-246.

The American Case

Stephen Skowronek. *Building a New American State*. Chapters 1-4, 6, and 7.

Weak States

Joel Migdal. "Strong States, Weak States," in Myron Weiner and Samuel Huntington, *Understanding Political Development*. Illinois: Scott Foresman/Little Brown, 1987.

Robert Jackman and Carl Rosberg, "Why Africa's Weak States Persist: The Empirical and the Juridical in Statehood," *World Politics*, 1982: 1-24.*

Jeffrey Herbst. *States and Power in Africa*. Princeton: Princeton University Press, 2000, Chapters 1-5 and 9 (other sections are optional)*

Challenges

Richard Cooper, "Economic Interdependence and Foreign Policy in the Seventies," *World Politics* 24 (1972): 159-181.

David Held, et. al., excerpts from *Global Transformations*. Stanford, CA: Stanford University Press, 1999.*

¹ An erudite scholar will also know Ibn Khaldun's *Muqqadimah*, Clifford Geertz's *Negara: The Theater State in Bali*, and William Shakespeare's *Henry II* (or most of the history plays).

I.B. Theories of Political Development, Modernization, and Dependency

Modernization Theory

Daniel Lerner. *The Passing of Traditional Society*. Glencoe, Ill.: Free Press, 1958, chapter 1.*

Karl Deutsch, "Social Mobilization and Political Development," in Jason Finkle and Richard Gable, eds., *Political Development and Social Change*, 1971, pp. 384-401.*

Seymour Martin Lipset. *Political Man: The Social Bases of Politics*. Garden City, N.Y.: Doubleday, 1960, chapter 2.*

Alex Inkeles, "The Modernization of Man," in Myron Weiner, ed., *Modernization*, New York: Basic Books, 1966: 138-150.

Critics...

Samuel Huntington. *Political Order in Changing Societies*, 1968, pp. 1-92 and 344-461.*

Samuel P. Huntington and Joan M. Nelson. *No Easy Choice: Political Participation in Developing Countries*. Cambridge, Mass: Harvard University Press, 1976 (be generally familiar with the argument, which expands on portions of Political Order in Changing Societies)

Empirical Evaluation (contemporary)

Adam Przeworski, et. al., *Democracy and Development*. Cambridge: Cambridge University Press, 2000, chapter 2.*

A Contemporary Version?

Ronald Inglehart. *Modernization and Postmodernization*. Princeton: Princeton University Press, 1997.

Dependency Theory as Criticism and as an Alternative Theory of Political Development

J. Samuel Valenzuela and Arturo Valenzuela, "Modernization and Dependency: Alternative Perspectives in the Study of Latin American Underdevelopment," *Comparative Politics*, 10, 4 (July 1978): 535-552.

Fernando Henrique Cardoso and Enzo Faletto, *Dependency and Development in Latin America*, University of California Press, 1979, pp. viii-xxv, 177-216.

Robert Packenham. *The Dependency Movement: Scholarship and Politics in Development Studies*. Cambridge, Mass.: Harvard University Press, 1992.

Tony Smith, "The Underdevelopment of Development Literature: The Case of Dependency Theory," *World Politics* 31 (January 1979): 247-288

I.C. Political Regimes and Democratization

Regimes

Robert Dahl. *Polyarchy: Participation and Opposition*. New Haven: Yale University Press, 1971.*

Juan Linz, "Totalitarian and Authoritarian Regimes," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, 3 (1975): 191-357.

Carl Friedrich and Zbigniew Brzezinski. *Totalitarian Dictatorship and Autocracy*. (1965), pp. 14-29.

Samuel Huntington, "Social and Institutional Dynamics of One-Party Systems," in S. P. Huntington and C. H. Moore, ed., *Authoritarian Politics in Modern Society* (1970): 3-44.

Democratization

Dietrich Rueschemeyer, Evelyne Huber Stephens, and John Stephens. *Capitalist Development and Democracy*. Chicago: University of Chicago Press, 1992, chapters 1, 2, and 3.

Giuseppe di Palma. *To Craft Democracies: An Essay on Democratic Transitions*, 1990, chapter 1 and enough to appreciate the argument.

Adam Przeworski, "Democracy as a Contingent Outcome of Conflicts," pp. 59-80 from Jon Elster and Rune Slagstad, eds., *Constitutionalism and Democracy*. Cambridge: Cambridge University Press, 1988*

Guillermo O'Donnell and Philippe C. Schmitter, "Negotiating (and Renegotiating) Pacts," from *Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies*. Baltimore: Johns Hopkins University Press, 1986.*

Samuel Huntington. *The Third Wave: Democratization in the Late Twentieth Century*. Norman, Okla.: University of Oklahoma Press, 1991, pp. xiii-xv, chapters 1-4.*

Barbara Geddes, "What Do We Know About Democratization After 20 Years?" *Annual Review of Political Science*, 2: 115-44.

Consolidation

Adam Przeworski et. al., *Democracy and Development*. Cambridge: Cambridge University Press, 2000, chapter 2. *

Juan J. Linz and Alfred Stepan. *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe*. Baltimore: Johns Hopkins Press, 1996. pp. 1-65.

Larry Diamond. *Developing Democracy: Toward Consolidation*. Baltimore: Johns Hopkins University Press, 1999, chapters 3-6.

Nancy Bermeo. *Ordinary People in Extraordinary Times: The Citizenry and the*

intro to *Breakdown of Democracy*. Princeton: Princeton University Press, 2003,
Part I plus chapters 2 and 7.

Attitudes, Culture & Democracy

Judith Kullberg and William Zimmerman. "Liberal Elites, Socialist Masses, and Problems of Russian Democracy." *World Politics* (April 1999): 323-59.

Ronald Inglehart. "Culture and Democracy," in Lawrence Harrison and Samuel Huntington, ed. *Culture Matters: How Values Shape Human Progress*. New York: Basic Books, 2000.

Mark Tessler. "Islam and Democracy in the Middle East: The Impact of Religious Orientations on Attitudes Toward Democracy in Four Arab Countries." *Comparative Politics* 34 (April 2002): 337-354.

Dankwart A. Rustow, "Transitions to Democracy: Toward a Dynamic Model," *Comparative Politics*, 2, 3 (1970): 337-363. (early argument abt endogeneous preferences and culture, as well as other aspects of democratization)

I.D. The Second Image Reversed: International Interactions

Important Note: This list may only be prepared in combination with the public policy readings. It does not stand on its own. If you offer this list, you must also be conversant with the general readings in the public policy category..

Peter Gourevitch, "International Influences on Domestic Politics: The Second Image Reversed," *International Organization*, 32 (Autumn 1978): 90-107.

Conceptualizing Domestic-International Interactions

Richard Cooper, "Economic Interdependence and Foreign Policy in the Seventies," *World Politics* 24 (1972): 159-181.

Robert O. Keohane and Joseph S. Nye, "Transgovernmental Relations and International Organization," *World Politics* 27 (1974): 39-62.

Robert Putnam, "Diplomacy and Domestic Policy: The Logic of Two-Level Games," *International Organization* 43 (Summer 1988).

Peter Hall. "The Politics of Keynesian Ideas," in *The Political Power of Economic Ideas: Keynesianism Across Nations*. Princeton: Princeton University Press, 1989, Intro & Conclusion pp. 1-XXX, 361-391.

Lisa Martin. *Democratic Commitments: Legislatures and International Cooperation*. Princeton: Princeton, NJ: 2000.

Liberalism in World Politics

Michael Doyle, "Liberalism in World Politics," *American Political Science Review* 80 (December 1986): 1151-1169.

John Gerard Ruggie. "International Regimes, Transactions, and Change: Embedded Liberalism in the Postwar Economic Order," *International Organization*, 36, 2 (1982): 379-415.

Trade & Political Coalitions

Stephen Krasner, "State Power and the Structure of International Trade," *World Politics*, 28, 3 (1976): 317-43.

Ronald Rogowski, *Commerce and Coalitions*, Princeton: Princeton University Press, 1989, pp. 3-20 and 163-179.

Jeffrey Frieden and Ronald Rogowski, "The Impact of the International Economy on National Policies: An Analytical Overview," in Keohane and Miller, eds, *Internationalization and Domestic Politics*, 1996: 25-47.

James Alt and Michael Gilligan, "The Political Economy of Trading States: Factor Specificity, Collective Action Problems, and Domestic Political Institutions," *Journal of Political Philosophy*, 2, 2 (1994): 165-192. check

International Law & Domestic Politics

Frederick Schauer. "The Politics and Incentives of Legal Transplantation," in Joseph S. Nye and John D. Donahue, *Governance in a Globalizing World*. Washington: Brookings, 2000.

Kathryn Sikkink. *The Power of Human Rights: International Norms and Domestic Change*. Cambridge: Cambridge University Press, 1999.

Kenneth W. Abbott, Robert O. Keohane, et. al. "The Concept of Legalization" and "Legalized Dispute Resolution" in Keohane, *Power and Governance in a Partially Globalized World*, Routledge.

II. Introduction to Institutions

These readings are required of all those who select any category in this list (II.A-II.E)

James G. March and Johan P. Olsen, "Institutional Perspectives on Political Institutions." *Governance* 9, 3 (July 1996), 247-64.

Kenneth A. Shepsle, "Studying Institutions: Some Lessons from the Rational Choice Approach," *Journal of Theoretical Politics* 1, 2 (April 1989), 131-147.

Sven Steinmo, Kathleen Thelen, and Frank Longstreth, eds., *Structuring Politics: Historical Institutionalism in Comparative Analysis*. Cambridge: Cambridge University Press, 1992, ch. 1.

Peter Hall and Rosemary Taylor. "Political Science and the Three New Institutionalisms," *Political Studies* 44 (December 1996): 936-958.*

II.A. Constitutions & Institutions

A Wide-ranging general introduction

James Buchanan and Gordon Tulloch. *The Calculus of Consent*. Ann Arbor: University of Michigan Press, 1962. [You may also wish to be familiar with parts of Geoffrey Brennan and James Buchanan *The Reason of Rules*. New York: Cambridge University Press, 1984.]

Arend Lijphart. *Patterns of Democracy*. 1999. Chapters 1-4, 8, 10, 11, 16-17.

Cass Sunstein. *Designing Democracy: What Constitutions Do*. Oxford: Oxford University Press, 2001, pp. 1-114 only (a more lawyerly view, integrated with polisci)

a classic and a commentary

Alexis de Tocqueville. *Democracy in America*. author's introduction, vol. 1 part 1 chapters 5-6 and last 3 sections of 8; vol. 1 part 2 chapter 6 sections 4-3; vol. 2 part 2 chapters 1-13; vol. 2 part 3 chapters 1-4, 13-14, 19.*

Jon Elster, "Consequences of Constitutional Choice: Reflections on Tocqueville," pp. 81-99 in Jon Elster and Rune Slagstad, eds., *Constitutionalism and Democracy*. Cambridge: Cambridge University Press, 1988.*

Presidents and Assemblies

Juan J. Linz, "Presidential or Parliamentary Democracy: Does it Make a Difference?" from *The Failure of Presidential Democracy*. Baltimore: Johns Hopkins University Press, pp. 3-75.*

Stepan and Skach. "Constitutional Frameworks and Democratic Consolidation: Presidentialism versus Parliamentarism," *World Politics*, 46 October 1993*

Matthew Shugart and John Carey. *Presidents and Assemblies*. Cambridge University Press.*

Michael Laver and Kenneth Shepsle. "Government Accountability in Parliamentary Democracy," in *Democracy, Accountability, and Representation*. Cambridge: Cambridge University Press, 1999: 279-96.*

Kare Strom. "Delegation and Accountability in Parliamentary Democracies," *European Journal of Political Research*, 37 (2000): 261-89.*

Federalism

James Madison. *The Federalist Papers*, 10 and 51.

William Riker, *Federalism: Origin, Operation, Significance*. Boston: Little, Brown, 1964, chapters 1 and 2.

Alfred Stepan. "Federalism and Democracy: Beyond the U.S. Model," *Journal of Democracy*, 10, 4: 19-33.

Jenna Bednar, William N. Eskridge, Jr., and John Ferejohn, "A Political Theory of Federalism," *Constitutional Culture and Democratic Rule*, edited by John Ferejohn, John Riley, and Jack N. Rakove. New York: Cambridge University Press, 223-267.

Peter Ordeshook and Olga Shvetsova, "Federalism and Constitutional Design," *Journal of Democracy* 8, 1 (1997): 27-42.

Martin Shapiro, "Judicial Review in Developed Democracies," *Democratization* Winter 2003. (really an argument about the consequences of federalism, although the title sounds otherwise)

*Rights*²

Yoram Barzel. *An Economic Analysis of Property Rights*. Cambridge: Cambridge University Press.

Stephen Holmes and Cass Sunstein, *The Cost of Rights* (New York: W.W. Norton, 1999).

Charles Epp. *The Rights Revolution: Lawyers, Activists, and Supreme Courts in Comparative Perspective*. Chicago: University of Chicago Press, 1998, Chapters 1, 2, and 11 plus at least 2 of the case studies.

² These selections do not introduce a debate. There is relatively little work on rights in comparative politics currently.

II.B. Interest Groups and Interest Intermediation³

Robert Dahl, "Pluralism Revisited," *Comparative Politics*, 10: 191-203.*

Patterns

Suzanne Berger, ed., *Organizing Interests in Western Europe*. Cambridge: Cambridge University Press, 1981 pp. 1-26 (Berger).*

David Cameron. "Social Democracy, Corporatism, Labor Quiescence and the Representation of Economic Interest in Advanced Capitalist Society," in J. J. Goldthorpe, ed., *Order and Conflict in Contemporary Capitalism*. Oxford, 1984: 143-178.

Ruth Berins Collier and David Collier, "Inducements versus Constraints: Disaggregating Corporatism," *American Political Science Review*, 73 (1979): 967-986 and chapter 2 of *Shaping the Political Arena* (same authors).*

Adam Przeworski and Michael Wallerstein, "The Structure of Class Conflict in Democratic Capitalist Societies," *American Political Science Review*, 76, 1982.

William Odom, "A Dissenting View on the Group Approach to Soviet Politics," *World Politics* 28 (1976): 542-547.

John Iliffe. *The Emergence of African Capitalism*. Cambridge: Cambridge University Press (optional, but provides a quick and interesting overview of the development of economic identities outside the industrial nations and Latin America)

Causes and Consequences

Peter Katzenstein. *Small States in World Markets: Industrial Policy in Europe*. Ithaca, NY: Cornell University Press, 1985: chapters 1, 2, and 5.*

Torben Iversen. "Power, Flexibility, and the Breakdown of Centralized Wage Bargaining: Denmark and Sweden in Comparative Perspective," *Comparative Politics*, 28, 4 (1996): 399-436. *

Ronald Rogowski. *Commerce and Coalitions: How Trade Affects Domestic Political Alignments*. Princeton: Princeton University Press, 1989, chapter one.

Mancur Olson. *The Rise and Decline of Nations*. New Haven: Yale University Press, 1982.*

D. Michael Shafer. *Winners and Losers: How Sectors Shape the Development Prospects of States*. Ithaca, NY: Cornell University Press, 1994: chapters 1, 2, 7.

New Developments

Herbert Kitschelt and Anthony McGann, *The Radical Right in Western Europe*. Ann Arbor: University of Michigan Press, 1995, enough to get the argument.

³ An erudite social scientist will also be familiar with the line of argument in Albert Hirschman, *The Passions and the Interests* and Ralf Dahrendorf, *Class and Conflict in Industrial Society*.

Bashevkin, Sylvia, "Interest Groups and Social Movements," in LeDuc, Niemi, and Norris, eds., *Comparing Democracies: Elections and Voting in a Global Perspective*, pp. 134-159.

Sidney Tarrow. *Power in Movement: Social Movements, Collective Action, and Politics*. Cambridge: Cambridge University Press, 1994.*

II.C. Political Parties and Elections⁴

Bernard Manin, Adam Przeworski, and Susan Stokes, "Elections and Representation," *Democracy, Accountability, and Representation*. Cambridge: Cambridge University Press, 1999, pp. 30-53 (optional, but useful overview)*

Forms

Peter Mair, ed., *The West European Party System*. New York: Oxford University Press, 1990, chapters 1, 5, 24 (classic essays by several authors)*

Explanatory Logics

a. Some Intellectual History

Peter Mair, ed., *The West European Party System*. New York: Oxford University Press, 1990, chapter 9 (Stein & Rokkan).*

Campbell, Converse, Miller & Stokes. *The American Voter*, Chicago: University of Chicago Press, 1960, pp. 120-159. *

Bradley Richardson. "European Party Loyalty Revisited," *American Political Science Review*, 85, 3 (1991): 751-775.

Anthony Downs. *An Economic Theory of Democracy*. New York: Harper and Row, 1957, chapters 7 and 8.*

Torben Iversen, "Political Leadership and Representation in West European Democracies: A Test of Three Models of Voting," *American Journal of Political Science*, 38, 1 (1994): 45-74.*

b. Focus on Effects of Electoral Rules⁵

Rein Taagepera and Matthew Shugart. *Seats and Votes: The Effects and Determinants of Electoral Systems*. New Haven: Yale University Press, 1989, chapters 1, 3, 7, 8, 11, and 18. (short chapters)*

Matthew Shugart and Martin P. Wattenberg, "Mixed-Member Electoral Systems: A Definition and Typology," pp. 9-22, in *Mixed-Member Electoral Systems:*

The

Best of Both Worlds? Oxford University Press. *

Gary W. Cox, "Centripetal and Centrifugal Incentives in Electoral Systems," *American Journal of Political Science* 34, 4 (November 1990), 903-935.

Gary Cox, "Electoral Rules and Electoral Coordination." *Annual Review of Political*

⁴ A sophisticated understanding of the concept of representation is important. An erudite scholar will know Hanna Fenichel Pitkin. *The Concept of Representation*. Berkeley: University of California Press, 1967. It is also helpful to know Adam Przeworski and John Sprague. *Paper Stones: A History of Electoral Socialism*. Chicago: University of Chicago Press, chapters 1-3.

⁵ It is important to understand the rudiments of how electoral systems work. Please prepare André Blais and Louis Massicotte, "Electoral Systems," in LeDuc, Niemi, and Norris, eds., *Comparing Democracies: Elections and Voting in a Global Perspective*, pp. 49-81 and understand the material in Gallagher, Laver, Mair, *Representative Government in Modern Europe: Institutions, Parties, and Governments*, 3rd ed. New York: McGraw-Hill, 2001, chapter 7,8,10, pp. 171-233, 271-99.

Science 2, 1999: 145-161.*

Dealignment, Realignment, and Innovation

Peter Mair, ed., *The West European Party System*. New York: Oxford University Press, 1990, chapter 16 (Dalton & Flanagan)*

Party
Herbert Kitschelt. "Left-Libertarian Parties: Explaining Innovation in Competitive Systems," *World Politics*, 40, 2 (1988): 194-234.

Herbert Kitschelt. "Citizens, Politicians, and Party Cartelization: Political Representation And State Failure in Post-Industrial Democracies," *European Journal of Political Research* 37 (2000): 149-179. (optional)

Herbert Kitschelt. "The Formation of Party Systems in East Central Europe." *Politics and Society*, 20, 1 (1992): 7-50.

John Jackson, Jacek Klich, and Krystyna Poznanska, "Economic Transition and Elections In Poland," *Economics of Transition* 11,1 (March 2003): 41-66.

Economic Voting

Michael Lewis-Beck and Richard Nadeau. "French Electoral Institutions and the Economic Vote," *Electoral Studies*, 19, 2/3 (2000): 171-182.

G. Bingham Powell and Guy Whitten. "A Cross-National Analysis of Economic Voting: Taking Account of the Political Context," *American Journal of Political Science*, 73, 2: 391-414.

Ray Duch, "A Developmental Model of Heterogeneous Economic Voting in New Democracies," *American Political Science Review*, 95, 4: 895-910.

Clientelism & Constituency Service

Bruce Cain, John Ferejohn, and Morris Fiorina. *The Personal Vote: Constituency Service and Electoral Independence*. Cambridge: Harvard University Press, 1997 (selections in consultation with faculty).

Leonard Wantchekon, "Clientelism and Voting Behavior: Evidence from a Field Experiment in Benin," *World Politics* 55, 3 (2003): 399-422.

II. E. Accountability⁶

Electoral Accountability

Bernard Manin, Adam Przeworski, and Susan Stokes, "Introduction" and "Elections and Representation" in Manin, Przeworski, and Stokes, eds., *Democracy, Accountability, and Representation* (Cambridge University Press, 1999).*

James Fearon, "Electoral Accountability and the Control of Politicians: Selecting Good Types Versus Sanctioning Poor Performance," in Manin, Przeworski, and Stokes, eds. *Democracy, Accountability, and Representation* (Cambridge University Press, 1999).

James Stimson, "Party Government and Responsiveness," in Manin, Przeworski, and Stokes, eds., *Democracy, Accountability, and Representation* (Cambridge University Press, 1999).

Susan Stokes. *Mandates and Democracy: Neoliberalism by Surprise in Latin America*. Cambridge: Cambridge University Press, 2001, chapters 1,3,6 & 7 (more if necessary to get the argument)

Bureaucratic Accountability and Autonomy

Terry Moe. "The New Economics of Organization," *American Journal of Political Science*, 28 (1984): 739-777.

Matthew D. McCubbins and Thomas Schwartz, "Congressional Oversight Overlooked: Police Patrols v. Fire Alarms," in McCubbins and Terry Sullivan, eds., *Congress: Structure and Policy*. New York: Cambridge University Press, 1987.

Daniel Carpenter. *The Forging of Bureaucratic Autonomy*. Princeton; Princeton University Press, 2001, pp. 1-47, 326-367, and either the USDA example or the post office example.

John Huber and Charles Shipan, *Deliberate Discretion: The Institutional Foundations of Bureaucratic Autonomy*. New York: Cambridge University Press, 2003, chapters 1,2, and 4.

Peter Evans. *Embedded Autonomy*. Princeton: Princeton University Press, 1995 (enough to get the argument)

"Horizontal" Accountability

a. Independent Judiciaries⁷

Owen Fiss, "The Right Degree of Independence," from Irwin Stotsky, *The Transition to Democracy in Latin America: The Role of the Judiciary*

⁶ A sophisticated understanding of the concept of representation is important. An erudite scholar will know Hanna Fenichel Pitkin. *The Concept of Representation*. Berkeley: University of California Press, 1967.

⁷ In order to perform competently on this part of the exam, you should be aware of the differences between civil law systems and common law systems. See John Merryman, *The Civil Law Tradition*, a very short book that purveys important distinctions in highly readable form.

William Landes and Richard Posner, "The Independent Judiciary in an Interest Group Perspective," *Journal of Law and Economics*, 18, 3 (1975): 875-901.

Eli Salzberger, "A Positive Analysis of the Doctrine of the Separation of Powers, or: Why Do We Have an Independent Judiciary," *International Review of Law and Economics*, 13 (1993): 349-379.

John Ferejohn and Pasquale Pasquino. "The Rule of Democracy and the Rule of Law," in Jose Maria Maravall and Adam Przeworski, eds., *Democracy and the Rule of Law*. Cambridge, 2003.

Plus at least one of the following:

Mark Ramseyer and Eric Rasmusen. "Why are Japanese Judges So Conservative in Politically Charged Cases?" *American Political Science Review*, 95, 2 (June 2001): 331-44.

Jennifer Widner, "Building Judicial Independence in Common Law Africa," in Andreas Schedler et. al., *The Self-Restraining State*. Lynne Rienner, 1999, pp. 177-194.

Pablo Spiller, Matias Iaryzour, and Mariano Tommasi. "Judicial Independence in Unstable Environments: Argentina 1935-1998," *American Journal of Political Science* 46, 4 (Oct 2002): 699-716.

b. Independent Central Banks

Alex Cukierman. *Central Bank Strategy, Credibility, and Independence*, especially chapters 2 and 23.

John Goodman, "The Politics of Central Bank Independence," *Comparative Politics*, 23, 3: 329-49.

Robert J. Franzese, Jr., "Partially Independent Central Banks, Politically Responsive Governments, and Inflation," *American Journal of Political Science*, 43, 3: 681-706.

Adam Posen, "Declarations are Not Enough: Financial Sector Sources of Central Bank Independence," NBER Macroeconomic Annual 10 (1995): 253-74.

III.A. Political Culture and Political Attitudes⁸

General conceptual works

Clifford Geertz. "Ideology as a Cultural System," in Clifford Geertz ed., *The Interpretation of Cultures*. New York: Harper and Row, 1973.

David J. Elkins and Richard E.B. Simeon, "A Cause in Search of Its Effect, or What Does Political Culture Explain?" *Comparative Politics*, 11 (January 1979): 127-146.*

Ann Swidler. "Culture in Action: Symbols and Strategies," *American Sociological Review*, 51 (April 1986): 273-286.*

Robert W. Jackman and Ross A Miller. "A Renaissance of Political Culture?" *American Journal of Political Science* 40 (1996): 632-659.

A classic & the authors' response to criticism...

Gabriel Almond and Sidney Verba. *The Civic Culture*, Princeton: Princeton University Press, 1963, passim, but especially chapters 1, 5-6, 13 (1, 6, 7, 15 in hardback edition).*

Gabriel Almond and Sidney Verba, eds. *The Civic Culture Revisited*, 1980, especially chapters 1, 2, and 10.

World Views and Political Repertoires, Several Approaches

Max Weber. *The Protestant Ethic and the Spirit of Capitalism*.

Robert Putnam, *The Beliefs of Politicians*. New Haven: Yale University Press, 1973.*

Susan Pharr. *Losing Face: Status Politics in Japan*. Berkeley: University of California Press, 1990.*

Samuel Huntington. *American Politics: The Promise of Disharmony*. Cambridge: Harvard University Press, 1981.*

Ian Johnston. *Cultural Realism: Strategic Culture and Grand Strategy in Chinese History*. Princeton: Princeton University Press, 1995, project design and enough to capture argument.

Zvi Gitelman and Wayne DiFranceisco. "Soviet Political Culture and 'Covert Participation' in Policy Implementation," *American Political Science Review*, 78, 3 (1984): 603-621.

Ronald Inglehart. *Modernization and Postmodernization*. Princeton: Princeton University Press, 1997.

Trust and Social Capital

Robert Putnam. *Making Democracy Work*. Princeton: Princeton University Press.*

⁸ Please look at the selections on culture and democracy listed under "Democratization" as well.

Margaret Levi. "A State of Trust," in Braithwaite, Valerie and Levi, eds., *Trust and Governance*. New York: Russell Sage Foundation, 1998.

Kenneth Newton and Pippa Norris. "Confidence in Public Institutions: Faith, Culture, or Performance?" in Susan Pharr and Robert Putnam, *Disaffected Democracies*. Princeton: Princeton University Press, 2000.

Tom R. Tyler. "Trust and Democratic Governance," in Braithwaite, Valerie, and Levi, eds. *Trust and Governance*. New York: Russell Sage Foundation, 1998.

Some new thinking

Robert Axelrod. "Laws of Life: How Standards of Behavior Evolve." *Science* 27, 2 (1987): 44-51.*

Robert Axelrod, "The Dissemination of Culture: A Model with Local Convergence and Global Polarization," *Journal of Conflict Resolution*, 41 (April 1997): 203-26.

III.B. Participation and Collective Action

Joan Nelson. "Political Participation," in Myron Weiner and Samuel P. Huntington, eds. *Understanding Political Development*, 1987, pp. 103-159.

SES, Institutions, and Participation

John Aldrich. "Rational Choice and Turnout," *American Journal of Political Science*, 37 (1993): 246-278.

Sidney Verba, Norman Nie and Jae-On Kim. *Participation and Political Equality: A Seven Nation Comparison* (1978), chapters 1-7, 13, 14.*

Steven Rosenstone and Ray Wolfinger, *Who Votes?* New Haven: Yale University Press, 1980.

Collective Action

Mancur Olson. *The Rise and Decline of Nations*. New Haven: Yale University Press, 1982, chapter that outlines the collective action problem.

Gerald Marwell and Pamela Oliver. *The Critical Mass in Collective Action: A Micro-Social Theory*. Cambridge: Cambridge University Press, 1993 (enough to understand the Argument)

Dennis Chong. *Collective Action and the Civil Rights Movement*. Chicago: University of Chicago Press, 1991.

Herbert Kitschelt. "Political Opportunity Structure and Political Protest," *British Journal of Political Science*, 16 (1986): 57-85.

Susanne Lohmann, "The Dynamics of Informational Cascades: The Monday Demonstrations in Leipzig, East Germany, 1989-91." *World Politics*, 47 (October 1994).*

Social Movements

Sidney Tarrow. *Power in Movement: Social Movements, Collective Action, and Politics*. Cambridge: Cambridge University Press, 1994.*

Logics & Consequences

Albert Hirschman. *Exit, Voice, and Loyalty*. Cambridge: Harvard University Press, 1970, chapters 1-4.*

III.C. Rebellion, Revolution, and Violence

Types & Trends

Charles Tilly, *The Politics of Collective Violence*. Cambridge: Cambridge University Press, 2003, chapters 1, 3, and 10 (you may find it useful to read more)*

Dynamics of Rebellion

Ted Robert Gurr, "The Revolution-Social Change Nexus," *Comparative Politics*, 5 (April 1973), pp. 359-392.*

James S. Coleman. "Collective Behavior" and "Revoking Authority" in *Foundations of Social Theory*. Cambridge, MA: Harvard University Press, 1990.*

Paul Collier. "Doing Well Out of War: An Economic Perspective," pp. 91-112 in Mats Berdal and David M. Malone, eds. *Greed & Greivance: Economic Agendas in Civil Wars*. Boulder: Lynne Rienner.*

Mark Lichbach. *The Rebel's Dilemma*. (enough to get the argument)

Albert Hirschman and Michael Rothschild. "The Changing Tolerance for Income Inequality in the Course of Economic Development," *Quarterly Journal of Economics*, 87, 4 (1973): 544-566. (skip the formal model at the end; skim)*

Revolution

Barrington Moore, Jr. *Social Origins of Dictatorship and Democracy*. Boston: Beacon Press, 1966; and Theda Skocpol, "A Critical Review of Barrington Moore's Social Origins." *Politics and Society*, 4 (Fall 1973), pp. 1-34.

Theda Skocpol. *States and Social Revolutions*. Cambridge, Cambridge University Press, 1979: 3-42, 161-171, and at least one empirical chapter.*

James DeNardo. *Power in Numbers*. Princeton: Princeton University Press, 1985: introduction and chapters 1-2, 5, and 9-11 (don't worry about following the equations; reading the bits in between is fine)*

Timur Kuran. "Why Revolutions are Better Understood than Predicted," in Nikki Keddie, ed. *Debating Revolutions*. New York: New York University Press, 1995, pp. 27-35.

Gary King, Robert Keohane and Sidney Verba, *Designing Social Inquiry*. Princeton: Princeton University Press, pp. 129-132.*

III.D. Ethnicity, Identity Politics and Nationalism

*Identities*⁹

Karl Deutsch. *Nationalism and Social Communication*. Cambridge: MIT Press, 1966, chapters 4-8.

Ernest Gellner. *Nations and Nationalism*. Oxford: Blackwell, 1983.

Benedict Anderson. *Imagined Communities*. London: Verso, 1991.

Walker Connor. "Man is a National Animal," in Walker Connor, ed. *Ethnonationalism: The Quest for Understanding*. Princeton: Princeton University Press, 1994, pp. 195-209.

Anthony Smith essay in John Hutchinson and Anthony D. Smith, eds. *Ethnicity*. London: Oxford University Press, 1996.

Explaining Discord and Tolerance

Walker Connor, "Nation-Building or Nation-Destroying?" *World Politics* 24 (1972): 319-355.

Donald Horowitz. *Ethnic Groups in Conflict*. Berkeley: University of California Press, new edition 2000-2001.

James D. Fearon and David D. Laitin. "Explaining Interethnic Cooperation," *American Political Science Review* 90 (4): 715-735.

Ashutosh Varshney. *Ethnic Conflict and Civil Strife: Hindus and Muslims in India*. New Haven: Yale University Press, 2002, especially chapters 1, 2 and 12.

John McGarry and Brendan O'Leary. "Explaining Northern Ireland," *Political Studies*, 44, 2 (1996).

Donald Kinder. *Divided by Color*.

Taming Identity Politics

Kenneth McRae, ed. *Consociational Democracy: Political Accommodation in Segmented Societies* Toronto: McClelland and Stewart, 1974, pp. 2-27, 70-106, and 137-149 (includes a selection from Lijphart, who borrowed the idea from Calhoun and used it to explain patterns in the Netherlands)

Donald Horowitz. *A Democratic South Africa? Constitutional Engineering in a Divided Society*. University of California Press, 1991, chapters 4 and 5 and enough of the earlier material to understand the nature of the setting.

Donald Horowitz, "Democracy in Divided Societies," *Journal of Democracy*, 4 (October 1993).

⁹ The erudite will also know Rupert Emerson. *From Empire to Nation*. Cambridge: Harvard University Press, 1960 and Karl Deutsch. *Nationalism and its Alternatives*. New York: Knopf, 1966.

John McGarry and Brendan O'Leary, eds., *The Politics of Ethnic Conflict Regulation*.
London: Routledge 1993 (selections in consultation with faculty who teach in this
area)

IV.A. Policy and Policy Making

(Know the readings under “intellectual strategies” and at least two of the subsections.)

*Intellectual strategies*¹⁰

Graham Allison, “Conceptual Models and the Cuban Missile Crisis,” *American Political Science Review*, 63, 3 (1969): 689-718.*

Theodore Lowi. “American Business, Public Policy, Case Studies, and Political Theory.” *World Politics*, 16 (1964): 677-715. (*policy shapes politics*)

B. Guy Peters, et. al. “Types of Democratic Systems and Types of Public Policy,” *Comparative Politics*, 9 (1977): 327-255.

George Tsebelis, “Decision Making in Political Systems: Veto Players in Presidentialism, Parliamentarism, Multicameralism and Multipartyism,” *British Journal of Political Science* 25, 3 (July 1995), 289-325.*

Gary W. Cox and Mathew D. McCubbins, "Political Structure and Economic Policy: The Institutional Determinants of Policy Outcomes," in Haggard and McCubbins eds. *Presidents, Parliaments, and Policy*. Cambridge: Cambridge University Press, 2001, Chapter 2.

Albert Hirschman. *Journeys Toward Progress: Studies of Economic Policy Making in Latin America*. New York: Twentieth Century Fund, 1963: chapters 4 and 5.*

a. *Economic Policies in Developed Democracies*¹¹

Peter Hall. *Governing the Economy: The Politics of State Intervention in Britain and France*. New York: Oxford University Press, 1986, chapters 1, 9-10. pp. 1-22, 227-83.*

Peter Hall. “The Politics of Keynesian Ideas,” in *The Political Power of Economic Ideas: Keynesianism Across Nations*. Princeton: Princeton University Press, 1989, Intro & Conclusion pp. 1-XXX, 361-391.*

Robert Franzese, *Macroeconomic Policies of Developed Democracies*, chapter 1, pp. 1-61.

Peter Hall and David Soskice, “An Introduction to Varieties of Capitalism,” in Hall and Soskice, eds, *Varieties of Capitalism: Institutional Foundations of Comparative Advantage*. Oxford: Oxford University Press, 2001, pp. 1-68.

b. *The Welfare State*

Theda Skocpol. *State, Social Knowledge, and the Origins of Modern Social Policies*. Princeton: Princeton University Press, 1996.

Alexander Hicks. *Social Democracy and Welfare Capitalism: A Century of Income Security*

¹⁰ You may find it useful to consult two important literature reviews: Hugh Hecllo. “Review Article: Policy Analysis,” *British Journal of Political Science*, 2 (1972): 84-108 and Thomas Dye. “Politics Versus Economics: The Development of the Literature on Policy Determination,” *Policy Studies Journal*, 7 (1979): 652-662. It is also important to know what “path dependence” means.

¹¹ You may also wish to draw on some of the sources under “Political Economy.”

Policies. Ithaca: Cornell University Press, 1999.

Paul Pierson, *Dismantling the Welfare State*. Cambridge: Cambridge Univ Press, 1994, Chapters 1,2, 3, 4 or 5, 7.

Evelyne Huber, Charles Ragin, and John D. Stephens, "Social Democracy, Christian Democracy, Constitutional Structure, and the Welfare State." *American Journal of Sociology* 99 (1993), 3:711-749.

Ellen Immergut, "Institutions, Veto Points, and Policy Results: A Comparative Analysis of Health Care," *Journal of Public Policy*, 10, 4.

John Campbell. *How Policies Change: The Japanese Government and the Aging Society*. Princeton: Princeton University Press, 1992, chapters 1-2 and 11.

c. *Policy in non-democratic systems*

Kenneth Lieberthal, "Introduction" in Kenneth Lieberthal and David Lampton, eds., *Bureaucracy, Politics, and Decision Making in Post-Mao China*. Berkeley: University of California Press, 1992.

Philip Roeder. *Red Sunset*. Princeton University Press, 1994 (enough to get the argument)

Robert Bates. *Markets and States in Tropical Africa*. University of California Press, 1983.*

IV. B. Political Economy

Prepare the general readings and at least of the two sub-topics.

Ordeshook, Peter C. 1990. "The Emerging Discipline of Political Economy," in James E. Alt and Kenneth A. Shepsle, eds., *Perspectives on Positive Political Economy*, pp. 9-30.

Torsten Persson and Guido Tabellini, *Political Economics: Explaining Economic Policy*. Cambridge: MIT Press, 2000, parts I and II.

Peter Hall and David Soskice, "An Introduction to Varieties of Capitalism," in Hall and Soskice, eds, *Varieties of Capitalism: Institutional Foundations of Comparative Advantage*. Oxford: Oxford University Press, 2001, pp. 1-68.

a. *The Size of Government*

David Cameron. "The Expansion of the Public Economy: A Comparative Analysis," *American Political Science Review*, 72 (1978): 1243-1261.

Sven Steinmo. *Taxation and Democracy: Swedish, British, and American Approaches to Financing the Modern State*. New Haven: Yale University Press, 1993.

Robert Franzese. *Macroeconomic Policies of Developed Democracies*, chapters 2-3

b. *Political Business Cycles and Economic Voting*¹²

William Nordhaus. "The Political Business Cycle," *Review of Economic Studies*, 22, April 1975, pp. 169-90. (electoral cycles)

Edward Tufte, *Political Control of the Economy*. Princeton: Princeton University Press, 1978. (electoral cycles)

Kenneth Rogoff, "Equilibrium Political Budget Cycles," *American Economic Review*, 1990, pp. 21-37. (electoral cycles)

Douglas Hibbs. *The American Political Economy*. Cambridge: Harvard University Press, 1987 (summarized in Alt & Crystal, *Political Economics*)

Alberto Alesina and Nouriel Roubini with Gerald Cohen, *Political Cycles and the Macroeconomy*, 1997 and Robert Franzese review in *Journal of Policy Analysis and Management* 19, 3 (2000): 501-9.

G. Bingham Powell and Guy Whitten, "A Cross-National Analysis of Economic Voting: Taking Account of the Political Context," *American Journal of Political Science*, 37, 2 (1993): 391-414.

¹² There is an overview of Nordhaus, Tufte, Rogoff, Hibbs, and Alesina in the *Annual Review of Political Science*. You may wish to read the overview plus Tufte and Hibbs.

Alexander Hicks and Duane Swank. "Politics, Institutions, and Welfare Spending in Industrialized Democracies, 1960-82," *American Political Science Review*, 86, 3 (1992): 658-74.

c. *International Economy and Domestic Political Alignments*¹³

Stephen Krasner, "State Power and the Structure of International Trade," *World Politics*, 28, 3 (1976): 317-43.

Jeffrey Frieden and Ronald Rogowski, "The Impact of the International Economy on National Policies: An Analytical Overview," in Keohane and Miller, eds, *Internationalization and Domestic Politics*, 1996: 25-47.

James Alt and Michael Gilligan, "The Political Economy of Trading States: Factor Specificity, Collective Action Problems, and Domestic Political Institutions," *Journal of Political Philosophy*, 2, 2 (1994): 165-192.

Ronald Rogowski, *Commerce and Coalitions*, Princeton: Princeton University Press, 1989, pp. 3-20 and 163-179 or Ronald Rogowski, "Political Cleavages and Changing Exposure to Trade," *American Political Science Review*, 81 (1987).

Peter Katzenstein. *Small States in World Markets: Industrial Policy in Europe*. Ithaca, NY: Cornell University Press, 1985: chapters 1, 2, and 5.

¹³ You may wish to watch for an article by Rob Franzese "Multiple Hands on the Wheel" *Political Analysis* 2003.

IV. C. Political Economy of Development

a. *The Concept of Development*¹⁴

Amartya Sen, "The Concept of Development," in Hollis Chenery and T.N. Srinivasan, eds., *The Handbook of Development Economics*. New York: North Holland, 1988, pp. 10-26.

Shahid Yusuf and Joseph Stiglitz, "Development Issues: Settled and Open," in Meier and Stiglitz, eds., *Frontiers of Development Economics*, pp. 227-268.

Albert Hirschman, "The Rise and Decline of Development Economics," in *Essays in Trespassing*, Cambridge: Cambridge University Press, 1981, pp. 1-24.

b. *States, Markets & Prosperity*

Charles Lindblom. *Politics and Markets*. New York: Basic Books, 1977, 3-89, 161-200.

Karl Polanyi, "The Economy as Instituted Process," ch. 13 in Polanyi et al. *Trade And Market in the Early Empires*

Alexander Gerschenkron, "Economic Backwardness in Historical Perspective," in Alexander Gerschenkron, *Economic Backwardness in Historical Perspective*.*

Douglass North and Robert Paul Thomas. *The Rise of the Western World: A New Economic History*. Cambridge: Cambridge University Press, 1973, 1-45, 69-70, 86-89, 91-101, 115-119, 132-158 and Douglass North bk 2 part one*

Robert Bates. *Markets and States in Tropical Africa*. Berkeley: University of California Press, 1981. *

Chalmers Johnson. From *MITI and the Japanese Miracle*. Chapter and/or chapter 2 (by Johnson) in Meredith Woo-Cummings, *The Developmental State*. Cornell University Press, 1999.*

Atul Kohli. "Where Did the Developmental State Come From?," *World Development* May 1997.

Meredith Woo-Cummings. Introductory Chapter in Woo-Cummings, *The Developmental State*. Cornell University Press, 1999.

Robert Wade, "East Asia's Economic Success," *World Politics*, April 1992: 270-320.

Kiren Aziz Chaudhry, "Economic Liberalization and the Lineage of the Rentier State," *Comparative Politics*, 27, 1 (1994): 1-25 together with Michael Ross, "The Political Economy of the Resource Curse," *World Politics*, 51, 2 (1999) and Gwenn Okruhlik, "Rentier Wealth, Unruly Law, and the Rise of Opposition:

¹⁴ A good overview of the history of the idea of development appears in H. W. Arndt. *Economic Development: The History of an Idea*. Chicago: University of Chicago Press, 1987, chapters 3-4.

The Political Economy of Oil States,” *Comparative Politics*, 31, 3: 295-315,

c. *Politics of Economic Reform*¹⁵

John Williamson, “What Washington Means by Policy Reform,” in Williamson, ed., *Latin American Adjustment: How Much Has Happened?* Washington, D.C.: Institute of International Economics, 1990: 5-20. (informational, not theoretical)

Adam Przeworski, “The Political Dynamics of Economic Reform,” *Democracy and the Market*. Cambridge: Cambridge University Press, 1991: 136-191.

Stephan Haggard and Robert Kaufman, *The Politics of Economic Adjustment*. Princeton: Princeton University Press, 1992, introduction.

Georard Roland. *Transition and Economics: Politics, Markets, and Firms*. Cambridge: MIT Press, 2000.

Timothy Frye. *Brokers and Bureaucrats: Building Market Institutions in Russia*. Ann Arbor: University of Michigan Press, 2000.

Joel Hellman, “Winner Take All,” *World Politics*, 1998.

Nicolas van de Walle. *African Economies and the Politics of Permanent Crisis*. Cambridge: Cambridge University Press, 2000. (chapters 2-4)

c. *Critics* (see Dependency Theory readings listed under “Theories of Political Development”)

d. May substitute “Good Government” or “Second Image Reversed” for sub-sections b or c

¹⁵ It would be helpful to know the general line of argument in the very easy book by Joseph Stiglitz, *Globalization and its Discontent*.

IV.C. Good Government

Important note. Please offer this category only in conjunction with “Policy Making” or with one of the political economy categories as a replacement for one sub-topic on one or the other of those lists. That is, this category does not stand alone.

Harry Eckstein. “The Evaluation of Political Performance: Problems and Dimensions. *Sage Professional Papers in Comparative Politics* 2, 1-17 (1971).

Derek Bok., “Measuring the Performance of Government,” in Joseph Nye, Philip Zelikow, and David C. King, eds., *Why People Don’t Trust Government*. Cambridge: Harvard University Press, 1997.

Robert Putnam. *Making Democracy Work: Civic Traditions in Modern Italy*. Princeton: Princeton University Press, 1993.*

Stephen B. Cornell and Joseph B. Kalt, “Successful Economic Development and the Heterogeneity of Governmental Form on American Indian Reservations,” in Merilee Grindle, ed., *Getting Good Government: Capacity-Building in the Public Sectors of Developing Countries*. Cambridge: HIID/Harvard University Press, 1997.*

Bruce Bueno de Mesquita, James D. Morrow, Randolph Siverson, and Alastair Smith, “Political Institutions, Political Survival, and Policy Success,” pp. 59-84 from Bruce Bueno de Mesquita and Hilton Root, *Governing for Prosperity*.*

David K. Leonard. *Reaching the Peasant Farmer: Organization Theory and Practice in Kenya*. Chicago: University of Chicago Press, 1977. (The argument, the openings to each section and two of the chapters that discuss different measurement strategies)

Kathryn Stoner-Weiss. *Local Heroes: The Political Economy of Russian Regional Governance*. Princeton: Princeton University Press, 1997 (enough to get a sense of the argument)

Elinor Ostrom. *Governing the Commons: The Evolution of Institutions for Collective Action*. Cambridge: Cambridge University Press, 1990, 1-57, 88-102, 182-216.